

# 401 WATER QUALITY CERTIFICATION APPLICATION

## California Regional Water Quality Control Board Santa Ana Region

Office Address:  
3737 Main Street, Suite 500  
Riverside, CA 92501-3339

Phone: (909) 782-4130  
Fax: (909) 781-6288  
<http://www.swrcb.ca.gov/~rwqcb8>

Instructions: Fill out all information on the form that applies to your project. *Filling out this form is **not** required*; a cover letter that includes this information is acceptable (including all the information described in this form will expedite the processing of your request). If desired, you may also enter this information into a 401 waiver template document. You may obtain an electronic copy of this form or a waiver letter template in Word 97 format by either calling the phone number above and requesting to speak with the 401 Coordinator or by going to the following Internet address: <http://www.swrcb.ca.gov/~rwqcb8/html/401.html>

### **Applicant**

Name \_\_\_\_\_

Title \_\_\_\_\_

Company \_\_\_\_\_

Address \_\_\_\_\_

City/State/Zip Code \_\_\_\_\_

Telephone Number \_\_\_\_\_

Fax Number \_\_\_\_\_

E-mail Address \_\_\_\_\_

### **Agent (consultant)**

Name \_\_\_\_\_

Title \_\_\_\_\_

Company \_\_\_\_\_

Address \_\_\_\_\_

City/State/Zip Code \_\_\_\_\_

Telephone Number \_\_\_\_\_

Fax Number \_\_\_\_\_

E-mail Address \_\_\_\_\_

**Project description (See information package for types of information needed). Continue on a separate page if needed.**

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**Receiving water**

Name of Affected Waterbody \_\_\_\_\_

Major Tributary \_\_\_\_\_

Township/Range/Section/Quadrangle \_\_\_\_\_

Latitude/Longitude \_\_\_\_\_

**Fill area**

Wetland \_\_\_\_\_ acres of permanent, \_\_\_\_\_ acres of temporary impact

Riparian \_\_\_\_\_ acres of permanent, \_\_\_\_\_ acres of temporary impact

Streambed \_\_\_\_\_ acres of permanent, \_\_\_\_\_ acres of temporary impact

Lakebed \_\_\_\_\_ acres of permanent, \_\_\_\_\_ acres of temporary impact

Ocean \_\_\_\_\_ acres of permanent, \_\_\_\_\_ acres of temporary impact

**Dredge volume** \_\_\_\_\_ cubic yards

**Federal Permit**

Individual - list Corps control number \_\_\_\_\_

Nationwide – list permit number \_\_\_\_\_

Is completed copy of federal application attached? \_\_\_\_\_ yes \_\_\_\_\_ no  
(attachments not required if they are the same as  
the 401 application attachments)

**Compensatory mitigation (list number of acres and waterbody type)**

Created \_\_\_\_\_ acres of \_\_\_\_\_

Restored \_\_\_\_\_ acres of \_\_\_\_\_

Preserved \_\_\_\_\_ acres of \_\_\_\_\_

Mitigation Bank – describe

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Other – describe

\_\_\_\_\_  
\_\_\_\_\_

None

**Expected impacts to threatened or endangered species (list species)**

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Is the project within the Stephens' Kangaroo Rat fee area? \_\_\_\_\_ yes \_\_\_\_\_ no

Is a Section 7 or 10 Consultation with the U.S. Fish and Wildlife Service necessary? \_\_\_\_\_ yes \_\_\_\_\_ no

Has the U.S. Fish and Wildlife Service issued a Biological Opinion? \_\_\_\_\_ yes \_\_\_\_\_ no

If yes, list date Opinion was issued \_\_\_\_\_

**Storm Water permit status**

Obtained storm water permit – list WDID number \_\_\_\_\_

Filed Notice of Intent with the SWRCB \_\_\_\_\_ yes \_\_\_\_\_ no

Prepared Storm Water Pollution Prevention Plan \_\_\_\_\_ yes \_\_\_\_\_ no

If you don't need a Storm Water permit, state why \_\_\_\_\_

These BMPs will be utilized to minimize environmental impacts

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**Dewatering permit**

Will groundwater dewatering be necessary? \_\_\_\_\_ yes \_\_\_\_\_ no

Dewatering permit number \_\_\_\_\_

**Streambed Alteration Agreement**

Agreement issued – attach copy

Applying for Agreement – attach copy of application form

Exempt – state why

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**Coastal Development Permit**

Permit issued – attach copy

Applying for permit – attach copy of application form (without attachments)

**CEQA**

Is final CEQA document and notice of determination attached? \_\_\_\_\_ yes \_\_\_\_\_ no

If not final, what is hearing date? \_\_\_\_\_

If exempt, list section which applies \_\_\_\_\_

**Filing fee:**

Is it attached? \_\_\_\_\_ yes \_\_\_\_\_ no

Appropriate filing fee: If there is a potential for water quality impacts, the filing fee shall be as follows (Section 2200, Title 23, California Code of Regulations):

Fill: One acre or less – flat fee of \$1,000.  
More than one acre - \$1,000 per acre or part thereof (not to exceed statutory maximum of \$10,000).

Dredge: Less than 10,000 cubic yards – flat fee of \$500.  
10,000 to 20,000 cubic yards – flat fee of \$2,000.  
More than 20,000 cubic yards - \$2,000 plus \$250 for each additional 5,000 cubic yards or part thereof (not to exceed statutory maximum of \$10,000).

If there is no potential for water quality impacts (we recommend you consult with Regional Board staff before making this determination), the filing fee is \$500 (covers processing costs for a 401 waiver).

**Useful Internet sites:**

Santa Ana Regional Water Quality Control Board 401 Permit Application Package:

<http://www.swrcb.ca.gov/~rwqcb8/html/forms.html>

Army Corps of Engineers 404 Permit Application:

[http://ceres.ca.gov/wetlands/permitting/sec\\_404.html](http://ceres.ca.gov/wetlands/permitting/sec_404.html)

Department of Fish and Game Streambed Alteration Agreement Application:

<http://www.dfg.ca.gov/wahcb/1600.html>